

### Revisión 1

## PROGRAMA DE LAS NACIONES UNIDAS PARA EL DESARROLLO República Dominicana Documento de Proyecto

**Título del Proyecto:** Programa Cocoa Life en la República Dominicana – Fase II

**Efecto MANUD y del Programa País:** Para el año 2022, la República Dominicana habrá incrementado su sostenibilidad social y ambiental y su resiliencia a los impactos del cambio climático y otras amenazas mediante la promoción de patrones de producción y consumo sostenibles, fomentando el uso adecuado de la tierra y la gestión eficaz de sus recursos naturales, cuencas hidrográficas y riesgos a desastres

**Producto(s) Esperados:** Número de instrumentos que expanden y diversifican la base productiva mediante el uso sostenible de la biodiversidad prioritarios disponibles a largo plazo producto de su gestión efectiva

**Asociado en la Implementación:** Programa de las Naciones Unidas para el Desarrollo (PNUD)

### Objetivo de la Revisión

El objetivo de la presente revisión es ajustar el plan de trabajo del proyecto correspondiente al año 2018, ampliando el alcance de las actividades previstas ya que se ha sumado un nuevo socio al Programa Cocoa Life (FUPAROCA). Esto implicará ampliar el trabajo de seguimiento a nuevas zonas del país: Puerto Plata, Duarte y Monte Plata, por un monto de US\$120,960 (cash). El resto del documento no presenta ningún cambio adicional.

<p>Período del Programa País: 2018-2022</p> <p>Área del Resultado Clave: Sostenibilidad ambiental y Resiliencia</p> <p>Código del Proyecto (Atlas Project ID): <u>00102619</u></p> <p>Fecha de Inicio: <u>Diciembre 2017</u></p> <p>Fecha de Término: <u>Junio 2019</u></p> <p>Fecha del PAC: <u>Septiembre 2017</u></p> <p>Modalidad de Gestión: <u>Ejecución Directa</u></p>	<p>Presupuesto Anterior (total): US\$ 147,622.00</p> <p>Presupuesto anterior (cash): US\$ 126,522.00</p> <p><b>Enmienda 1:</b></p> <ul style="list-style-type: none"> <li>• Mondelēz (cash): US\$ 120,960.00</li> <li>• PNUD (especie): US\$ 18,300.00</li> </ul> <p><b>Total Recursos Enmienda 1: US\$ 139,260.00</b></p> <p>Presupuesto Actualizado (total): US\$ 286,882.00</p> <p>Presupuesto Actualizado (cash): US\$ 247,482.00</p> <p>Recursos Movilizados: US\$ 286,882.00</p> <p>GMS: US\$ 18,332.00</p>
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Acordado por:

**PNUD**

  
Lorenzo Jiménez de Luis  
Representante Residente

Fecha: 01-06-2018

## I. MARCO DE RESULTADOS

Efecto previsto conforme lo establecido en el MANUD / Marco de Resultados y Recursos del Programa de País: Para el 2022, República Dominicana habrá elevado la sostenibilidad socio-ambiental y resiliencia a los impactos del cambio climático y a otras amenazas naturales, mediante la promoción de patrones de consumo y producción sostenibles, una adecuada planificación territorial y la gestión eficaz de los recursos naturales, cuencas hidrográficas y riesgos de desastres.											
Indicadores de Efecto según lo establecido en el Marco de Resultados y Recursos del Programa de País, incluidos las metas y la línea de base: Número de instrumentos nacionales para la promoción de la producción sostenible de commodities											
Producto Aplicable del Plan Estratégico del PNUD: 2.4.1 Gender-responsive legal and regulatory frameworks, policies and institutions strengthened, and solutions adopted, to address conservation, sustainable use and equitable benefit sharing of natural resources, in line with international conventions and national legislation											
Título del Proyecto y Número del Proyecto en Atlas: 102619 "Programa Cocoa Life en la República Dominicana – Fase II."											
PRODUCTOS ESPERADOS	INDICADORES DE PRODUCTO	FUENTE DE DATOS	LÍNEA DE BASE		METAS				RECOLECCIÓN DE DATOS		
			Valor	Año	Año 1	Año 2	Año 3	Final	METODOLOGÍA Y RIESGOS		
<b>Producto 1</b> Cadena de valor del cacao en la República Dominicana fortalecida, en procura de contribuir a mejorar las condiciones de vida y la producción sostenible de productores de cacao. GEN 1	1.1 Tres Socios Cocoa Life en RD que llevan adecuadamente la implementación de sus proyectos.	Programa Cocoa Life en RD	0	2016	2	1	0	3	Informe de progreso de los socios y memorias de las reuniones de seguimiento al desempeño (PoP) de los actores del CLP.		
	1.2 Un programa de monitoreo y reporte de progreso de la implementación de los proyectos ejecutados en el marco de CLP en RD implementado	Programa Cocoa Life en RD	0	2016	Progr Monitoreo: 1 Reporte: 1	Reporte: 1	Reporte: 1	Progr Monitoreo: 1 Reporte: 3	Informes de monitoreo y reportes de progreso del CLP		
	1.3 Una estrategia de comunicación y visibilidad del programa Cocoa Life en RD implementada	Programa Cocoa Life en RD	0	2016	0	1	0	1	Productos generados como apoyo a la visibilidad y comunicación del CLP		
	1.4 Un plan de manejo de conocimiento sobre experiencias del CLP en RD ejecutado	Programa Cocoa Life en RD	0	2016	0	1	0	1	Documento que compila las lecciones aprendidas y los intercambios de experiencias realizados por los socios del CLP en RD.		

## II. MONITOREO Y EVALUACIÓN

De conformidad con las políticas y los procedimientos de programación del PNUD, el proyecto se monitoreará a través de los siguientes planes de monitoreo y evaluación.

Actividad de Monitoreo	Objetivo	Frecuencia	Medidas a Seguir	Costo
Seguimiento del progreso en el logro de los resultados	Reunir y analizar datos sobre el progreso realizado en comparación con los indicadores de resultados que aparecen en el Marco de Resultados y Recursos a fin de evaluar el avance del proyecto en relación con el logro de los productos acordados	Trimestralmente, o según la frecuencia que se requiera para cada indicador.	La gerencia del proyecto analizará cualquier demora que afecte el avance esperado del proyecto.	
Monitoreo y Gestión del Riesgo	Identificar riesgos específicos que pueden comprometer el logro de los resultados previstos. Identificar y monitorear medidas de gestión del riesgo mediante un registro de riesgos. Ello incluye medidas de monitoreo y planes que se pueden haber exigido según los Estándares Sociales y Ambientales del PNUD. Las auditorías se realizarán conforme a la política de auditoría del PNUD para gestionar el riesgo financiero.	Trimestralmente	La gerencia del proyecto identificará los riesgos y tomará medidas para controlarlos. Se mantendrá un registro activo para el seguimiento de los riesgos identificados y las medidas tomadas.	
Aprendizaje	Se captarán en forma periódica los conocimientos, las buenas prácticas y las lecciones aprendidas de otros proyectos y asociados en la implementación y se integrarán al presente proyecto.	Al menos una vez por año	El equipo del proyecto capta las lecciones relevantes que se utilizarán para tomar decisiones gerenciales debidamente informadas.	
Aseguramiento de Calidad Anual del Proyecto	Se evaluará la calidad del proyecto conforme a los estándares de calidad del PNUD a fin de identificar sus fortalezas y debilidades e informar a la gerencia para apoyar la toma de decisiones que facilite las mejoras relevantes.	Anual	La gerencia del proyecto revisará las fortalezas y debilidades que se utilizarán para la toma de decisiones informadas a fin de mejorar el desempeño del proyecto	

<p><b>Revisar y Efectuar Correcciones en el curso de acción</b></p>	<p>Revisión interna de datos y evidencia a partir de todas las acciones de monitoreo para asegurar la toma de decisiones informadas.</p>	<p>Al menos una vez por año</p>	<p>La Junta Directiva del Proyecto debatirá los datos de desempeño, riesgos, lecciones y calidad que se utilizarán para corregir el curso de acción.</p>
<p><b>Informe del Proyecto</b></p>	<p>Se presentará un Informe del Proyecto a la Junta Directiva y a los actores clave, incluyendo datos sobre el progreso realizado que reflejen los resultados logrados de conformidad con las metas anuales definidas de antemano en cuanto a productos, un resumen anual sobre la calificación de la calidad del proyecto, un registro de riesgos actualizado, con medidas de mitigación, y todo informe de evaluación o revisión preparado durante el período.</p>	<p>Anual y al finalizar el proyecto (Informe Final)</p>	
<p><b>Revisión del Proyecto (Junta Directiva del Proyecto)</b></p>	<p>El mecanismo de gobernanza del proyecto (es decir, la Junta Directiva del Proyecto) efectuará revisiones periódicas del proyecto para evaluar su desempeño y revisar el Plan de Trabajo Plurianual, a fin de asegurar una elaboración del presupuesto realista durante la vida del proyecto. En el transcurso del último año del proyecto, la Junta Directiva realizará una revisión final del proyecto para captar las lecciones aprendidas y debatir aquellas oportunidades para escalar y socializar los resultados del proyecto y las lecciones aprendidas con los actores relevantes.</p>	<p>Al menos una vez por año</p>	<p>La Junta Directiva del Proyecto debatirá toda inquietud referente a la calidad o a un progreso más lento de lo esperado y acordará medidas de gestión para abordar las cuestiones identificadas.</p>

### III. PLAN DE TRABAJO PLURIANUAL

PRODUCTOS ESPERADOS	ACTIVIDADES PREVISAS	Presupuesto por Año								RESPONSABLE	Fuente de Financiamiento	Descripción del Presupuesto	PRESUPUESTO PREVISTO							
		2017				2018							Monto							
		Q4	Q1	Q2	Q3	Q4	Q1	Q2	2018m				2019m	Total						
<b>Producto 1:</b> <i>Cadena de valor del cacao en la República Dominicana fortalecida, en procura de contribuir a mejorar las condiciones de vida y la producción sostenible de productores de cacao.</i>	1.1 Guiar a los socios del CLP en términos de gestión e implementación de los proyectos	x	x	x	x	x	x			PNUD										
		1.2 Monitorear y reportar el progreso de la implementación de los proyectos del CLP en República Dominicana	x																	
					x	x	x	x												
	1.3 Desarrollar una estrategia de comunicación y visibilidad																			
	1.4 Gestión del conocimiento																			
			<b>Sub-Total for Output 1</b>																	
	<b>Costo de Apoyo (GMS 8%)</b>																			
	<b>TOTAL</b>																			

\* Contribución en especie de parte de PNUD

### **Calendario de Pagos**

El monto del pago, si éste se efectúa en una moneda distinta al dólar de Estados Unidos de Norteamérica, será determinado aplicando la tasa de cambio operacional de Naciones Unidas válida a la fecha del mismo. En caso de existir alguna variación en el tipo de cambio operacional de Naciones Unidas, en forma previa a la plena utilización del pago por parte del PNUD, el monto del saldo de los fondos aún retenidos para entonces será ajustado según corresponda. Si se registra, en tal caso, una pérdida en el monto del saldo de los fondos, el PNUD informará debidamente al Mondelēz Europe GmbH, con el objeto de determinar la factibilidad de una financiación adicional por parte de dicha organización. En caso de que tal financiación adicional no sea posible, el PNUD podrá reducir, suspender o terminar la ayuda proporcionada al proyecto.

<b>Calendario para desembolso de fondos Enmienda 1</b>		
<b>Fase y Fecha del desembolso</b>	<b>Porcentaje</b>	<b>Monto (USD)</b>
Acuerdo inicial (2017)		126,522
<b>Enmienda 1 (2018)</b>		
1er desembolso (31 julio 2018)	50%	60,480
2do y desembolso (30 septiembre 2018)	50%	60,480
Total Enmienda 1		120,960
Gran Total		247,482

El anterior calendario de pagos toma en consideración la necesidad que los pagos se efectúen por adelantado con respecto a la ejecución de las actividades planificadas. Éste podrá ser enmendado para que mantenga su coherencia con respecto al progreso experimentado a medida que el proyecto se realiza.

El PNUD recibirá y administrará el pago de acuerdo con los reglamentos, las reglas y las directivas del mismo.

Todas las cuentas y estados financieros serán expresados en dólares de Estados Unidos de Norteamérica.

Si se esperan o se consideran alzas no programadas en gastos o en compromisos (ya sea debido a factores inflacionarios, fluctuación en las tasas de cambio o hechos fortuitos), el PNUD entregará a Mondelēz Europe GmbH -de manera oportuna- una estimación complementaria, indicando la financiación adicional necesaria. Mondelēz Europe GmbH hará sus mejores esfuerzos para obtener los fondos adicionales requeridos.

Si los pagos arriba mencionados no son recibidos según lo indica el calendario de pagos o si la financiación adicional requerida como lo menciona el párrafo anterior no está disponible por parte de Mondelēz Europe GmbH u otras fuentes, el PNUD podrá reducir, suspender o terminar la ayuda que se proporciona al proyecto regido por el presente Acuerdo.

Todo interés devengado atribuible a la contribución recibida será abonado a la Cuenta de Costos Compartidos del Programa y se utilizará según los procedimientos del PNUD establecidos.

De acuerdo a las decisiones y directivas de la Junta Ejecutiva del PNUD, la contribución retenida por la organización para cubrir los Servicios Administrativos Generales en los proyectos financiados por el sector privado será de 8% de los fondos ejecutados. Dicho porcentaje podrá ser aumentado si así es acordado por las partes, dependiendo de la complejidad de cada operación.

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## **IV. ANEXOS**

### **1. Acuerdo PNUD – Mondelēz.**

	F1	F2	F3	F4	F5	F6
	\$483.52	\$123.90	\$250.00	\$22.04	\$160.00	\$220.00
	\$160.00	\$22.04	\$160.00	\$200.00	\$160.00	\$80.00
	\$112.93	\$200.00	\$600.00	\$200.00	\$40.60	\$160.00
	\$94.46	\$318.60	\$118.04	\$160.00	\$34.80	\$160.00
	\$235.82	\$160.00	\$90.10	\$289.90	\$35.40	\$318.60
	\$95.21	\$4,982.90	\$2,466.20	\$465.00	\$160.00	
<b>TOTAL</b>	<b>\$1,181.94</b>	<b>\$5,807.44</b>	<b>\$3,684.34</b>	<b>\$1,336.94</b>	<b>\$590.80</b>	<b>\$938.60</b>
						<b>\$13,540.06</b>



Resident Representative in Dominican Republic  
United Nations Development Programme  
UN House, Anacaona #9, Mirador Sur  
Santo Domingo, DN, Dominican Republic.

Date: 23 May 2018.

Subject: Contribution for Cocoa Life Programme In Dominican Republic: Phase II (2018).

Dear Sir:

I am pleased to inform you that Mondelēz Europe GmbH, a company incorporated in Switzerland whose principal office is at Lindbergh-Allee 1, 8152 Glattpark, Zurich, Switzerland (hereinafter referred to as the "Donor") has decided to make a contribution of USD 120,960.00 (One hundred twenty thousand nine hundred sixty US Dollars and 0/00) (hereinafter referred to as the "Grant" and/or the "Contribution") to the United Nations Development Programme (hereinafter referred to as "UNDP"), a subsidiary organ of the United Nations, an international organization established by treaty, represented by its Resident Representative, with offices at Dominican Republic, for the project "Cocoa Life Programme in Dominican Republic –Phase II" (2017) (Project Number 00102619).

The contribution shall be deposited to the following Bank and account:

UNDP REPRESENTATIVE IN DOMINICAN REPUBLIC DOLLAR ACCOUNT  
Bank of America, 1401 Elm St., Dallas TX 75202  
Account No. 375-156-0126  
ABA: 026009593  
SWIFT Address: BOFAUS3N

The Donor will inform UNDP when the contribution is paid via an e-mail message with remittance information to [contributions@undp.org](mailto:contributions@undp.org) and [registry.do@undp.org](mailto:registry.do@undp.org).

UNDP shall receive and administer the contribution in accordance with UNDP's Financial Regulations and Rules, policies and procedures, and on the following terms and conditions:

(1) The Contribution shall be paid in accordance with the following schedule:

<u>Payment Date</u>	<u>Amount</u>
July 31 <sup>st</sup> , 2018	\$60,480
September 30 <sup>th</sup> , 2018	\$60,480

(2) The above schedule of payments takes into account the requirement that the payments shall be made in advance of the execution/implementation of planned activities. It may be amended to be consistent with the progress of project delivery.

(3) Mondelēz will provide UNDP with a Purchase Order number. UNDP will then issue a letter addressed to Mondelēz Europe with request to transfer funds and include this PO number.

(4) This Grant will be used exclusively for the Project: Cocoa Life Programme in Dominican Republic –Phase II (2018) (Project Number 00102619). Consistent with the Project, UNDP shall appoint a Focal Point for liaison with the Donor as described in Exhibit I, attached hereto.

(5) In accordance with the decisions and directives of UNDP's Executive Board reflected in its Policy on Cost Recovery from Other Resources, the Contribution shall be subject to cost recovery for indirect costs incurred by UNDP headquarters and country office structures in providing General Management Support (GMS) services. To cover these GMS costs, a fee equal to 8% will be deducted from the Contribution. Furthermore, as long as they are unequivocally linked to the specific project(s), all direct costs of implementation, including the costs of executing entity or implementing partner, will be identified in the project budget against a relevant budget line and borne by the project accordingly.

(6) The contribution and activities financed there from shall be subject exclusively to internal and external auditing procedures provided for in the financial regulations, rules and directives of UNDP. Should an Audit Report of the Board of Auditors of UNDP to its governing body contain observations relevant to the contributions, such information shall be made available to the Donor.

(7) (a) The Donor and UNDP will consult with each other in respect of any matter that may arise from or in connection with the Grant. The terms of this letter may be amended in writing signed by both UNDP and the Donor.

(b) The Donor shall not use the UNDP name or emblem, or any abbreviation thereof, in connection with its business or otherwise without the express prior written approval of UNDP in each case.

(c) The Donor acknowledges that it is familiar with UNDP's ideals and objectives and recognizes that its name and emblem may not be associated with any political or

sectarian cause or otherwise used in a manner inconsistent with the status, reputation and neutrality of UNDP.

(d) The Donor may make representations to its shareholders and internal budget officials as required about the fact of the contribution to UNDP. Any other use of the UNDP name or emblem, and any other form of recognition or acknowledgement of the contribution of the Donor are subject to consultations between the Parties, and the prior written agreement of UNDP.

(e) UNDP will report on the contribution to its Executive Board in accordance with its regular procedures regarding contributions from private donors. Other forms of recognition and acknowledgement of the contribution are subject to consultations between the Parties, but the manner of such recognition and acknowledgement shall be determined at the sole discretion of UNDP.

(8) (a) The parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of, or relating to this agreement or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with UNCITRAL Conciliation Rules then obtaining, or according to such procedure as may be agreed between the parties.

(b) Any dispute, controversy or claim between the Parties arising out of or relating to this agreement or the breach, termination or invalidity thereof, unless settled amicably under the preceding paragraph within sixty (60) days after receipt by one Party of the other Party's request for such amicable settlement, shall be referred by either party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The arbitral tribunal shall have no authority to award punitive damages. The parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy, claim or dispute.

(9) Nothing in this agreement shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including UNDP.

(10) Any payments that remain unexpended after all commitments and liabilities have been satisfied shall be disposed of by UNDP in consultation with the Donor.


(11) The Parties agree that it is important to take all necessary precautions to avoid corrupt practices. To this end, UNDP shall maintain standards of conduct that govern the performance of its staff, including the prohibition of corrupt practices in connection with the award and administration of contracts, grants, or other benefits, as set forth in the Staff Regulations and Rules of the United Nations, the UNDP Financial Regulations and Rules, and the UNDP Procurement Manual. UNDP shall also comply with the policies attached at Exhibit II.

(12) The Donor and UNDP will consult with each other in respect of any matter that may arise from or in connection with the Grant.

This letter and your acceptance of this contribution on the terms set forth herein shall constitute an agreement between the Donor and UNDP on the subject matter hereof.

Sincerely yours,


For and on behalf of Mondelēz Europe GmbH

  
\_\_\_\_\_  
Signature

xxx Cathy Pieters  
Name

Director Cocoa Life  
Title

23.05.18  
Date

  
\_\_\_\_\_  
Signature

xxx Nicola Tadd  
Name

Director Accounting MEU Opco  
Title

23.05.18  
Date

Acceptance for United Nations Development Programme:

  
\_\_\_\_\_  
Lorenzo Jiménez de Luis  
Resident Representative

4/06/2018  
Date

## Exhibit 1

### **Cocoa Life Programme in Dominican Republic –Phase II (2018)**

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Start date: January 2017

End date: December 2019 (3 years subject to Cocoa Life re-approval after one year.)

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#### **CONTEXT**

Mondelēz International is implementing the Cocoa Life Programme in Dominican Republic, in order to contribute with cocoa farmer's livelihoods and improve cocoa production within its supply chain. UNDP coordinates the Cocoa Life (CL) Programme in DR, linked to CL global approach and goals.

In 2016, the CLP began its operations in three Dominican cocoa communities located in Sanchez Ramirez province, with 256 cocoa farmers. CL partners in this area are CONACADO and Fundación REDDOM. In 2018, the project will be extended to five new communities located in Bloque 7, at the same province.

Additionally, it is expected to expand the CL partners in 2018. FUPAROCA has prepared a project proposal which has been agreed and an agreement is in process to be signed. This partner aims to work with 12 communities in three provinces: Monte Plata, Puerto Plata and María Trinidad Sánchez.

The CLP provides key inputs to the National Cocoa Sustainable Management Action Plan, managed by the National Cocoa Platform, which has the purpose of: "transforming the Dominican cocoa sector in order to improve a sustained growth, environmental sustainability and social well-being of cocoa farmers and their communities". This Plan has received previous support from Mondelēz and other partners.

The National Cocoa Platform has identified the main challenges faced by the sector and has proposed measures to address them. A significant part of these measures has been included in the CLP, contributing, this way, with the Dominican Republic priorities to boost the cocoa sector.

In this context, UNDP coordinates the implementation of the CLP in Dominican Republic, overseeing the partners work and providing technical support to ensure the programme goals achievement.

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#### **OBJECTIVES: General objective:**

The objective of CLP is to contribute to improve livelihoods of cocoa farmers and enhance sustainable cocoa production within the Mondelēz's cocoa value Chain.

The objective for UNDP in Phase 2 is the coordination of CL Programme in Dominican Republic, with an integral sustainable development approach and aligned with Mondelēz principles and requirements

#### **Specific objectives:**

1. Represent Mondelēz in Dominican Republic by overseeing the Cocoa Life program and local coordination.

2. Monitor and report implementation progress of projects in Cocoa Life's DR program.
3. Ensure appropriate visibility of CLP actions in DR, capture and socialize lessons learned on actions developed by the CL program in the Dominican Republic.
4. Ensure that CLP activities in DR fulfill Gender and Child Labour prevention Policies.

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## **2017 WORKPLAN AND BUDGET**

### **Result 1. Guide Cocoa Life partners in terms of project management and implementation issues.**

#### **Activity 1.1. Advice and monitor CLP implementation by CL partners.**

- Provide advice and monitoring of the activities carried out by CL partners (Mondelez suppliers and NGOs)
- 2 planning workshops will be done and periodic meetings with CL partners will be carried out (FUPAROCA-jan 2018- and CONACADO/REDDOM – dec2018- Teams).
- Inception training to FUPAROCA for a smooth start (One Workshop)
- Support and validate the process of data collection for the Needs Assessment process in new CL communities (new areas of REDDOM/CONACADO project and FUPAROCA Communities).
- Overview of the process of designing the Community Action Plans in CL communities in the framework FUPAROCA and CONACADO projects.
- Overview of capacity building activities in the field, distribution of plants, delivery of production resources and share lessons learned from other initiatives/partners to address Child Labour principles and sustainable environmental management of cocoa farms.

### **Result 2. M&E of CLP activities in DR.**

#### **Activity 2.1. Quarter progress reports of two CL projects (CONACADO/REDDOM and FUPAROCA) and consolidated reports of the whole CLP progress in DR.**

#### **Activity 2.2. Annual report (based in cocoa year)**

#### **Activity 2.3. Support to IPSOS in the M&E process to CLP in DR (Including the new areas of interventions of the CLP in DR)**

### **Result 3. Communication and visibility strategy.**

A basic communications toolkit of CLP in DR will be produced. It will be used for engagement and communication of CL activities with national stakeholders. Aligned with the global CLP approach ("cocoa farmers and their communities"), different communication materials will be developed, such as photographs, a short video that explains CLP in DR, and info-graphics. These will be used to produce informative material, clips and other graphic materials that will be shared in specific moments and media.

To ensure alignment and coordination, the UNDP Communications Officer will work in close coordination with CLP Global Communications team. These activities have been moved from the 2017 annual plan.

#### **Activity 3.1. Communication and visibility strategy.**

#### **Activity 3.2. Production of visibility materials:**

- 1 video of CLP in DR.
- A photo library with CL activities.
- Info-graphics and a leaflet about CL in DR.

- At least 2 publications for social media and websites.

**Result 4. Knowledge management: Identification of lessons learned, experiences and best practices regarding CL Implementation in DR**

As part of a knowledge management strategy, two events will be done before the end of 2018 to review progress, identify lessons learned and recommendations for strengthening CL and priorities for 2019.

*Activity 4.1. Two workshops with farmers and key stakeholders within CL communities (One for CONACADO/REDDOM project and one for FUPAROCA project).*

*Activity 4.2. Two workshops with CL technical partners.*

*Activity 4.3. One document with lessons learned, experiences and best practices of CLP implementation in DR, as well as recommendations for strengthening CL and priorities for 2019.*

**Result 5. General coordination of CLP in DR:**

*Activity 5.1. Synergies established in the framework of National Cocoa Action Plan: the CLP achievements are reported to the monitoring mechanism of the National Cocoa Action Plan.*

*Activity 5.2. Compliance with social and environmental standards in the actions developed with the CLP.*

*Activity 5.3. Project management*

- Coordination with Mondelez CL Manager.
- POP Meetings (Quarterly)
- Steering Committee Meetings (Monthly)
- Technical Committee Meetings (Weekly)
- Administrative Management





Outcomes	Activities	Deliverables	Period															
			Q1 (Jan-Mar)		Q2 (Apr-Jun)		Q3 (Jul-Sep)		Q4 (Oct-Dec)		Total year, 2018							
			Goal (Qty)	Ach %	Goal (Qty)	Ach %	Goal (Qty)	Ach %	Goal (Qty)	Ach %	Goal (Qty)	Ach %						
	2.3. Support to IPSOS in the M&E process to CLP in DR.	2 reports of support to IPSOS	1		1													
	3.1. Communication and visibility strategy.	A strategy elaborated will be completed 1 video of CLP in DR will be completed	1		1													
Result 3. Communication and visibility strategy.	3.2. Production of visibility materials	A photo library with CL activities will be completed Info-graphics and a leaflet about CL in DR. At least 2 publications for social media and websites.			1			1										
Result 4. Knowledge management: identification of lessons learned, experiences and best practices regarding CL implementation in DR	4.1. Two workshops with farmers and key stakeholders within CL communities (One for CONACADO/REDDOM project and other for FUPAROCA project). 4.2. Two workshops with CL technical partners. One document with lessons learned, experiences and best practices of CLP implementation in DR, as well as	Two workshops with farmers and key stakeholders within CL communities  Two workshops with CL technical partners. One document with lessons learned, experiences and best practices of CLP implementation in DR			1													

Outcomes	Activities	Deliverables	Period														
			Q1 (Jan-Mar)			Q2 (Apr-Jun)			Q3 (Jul-Sep)			Q4 (Oct-Dec)			Total year, 2018		
			Goal (Qty)	Ach %	Goal (Qty)	Ach %	Goal (Qty)	Ach %	Goal (Qty)	Ach %	Goal (Qty)	Ach %	Goal (Qty)	Ach %	Goal (Qty)	Ach %	
	recommendations for strengthening CL and priorities for 2019.																
	5.1. Synergies established in the framework of National Cocoa Action Plan: the CLP achievements are reported to the monitoring mechanism of the National Cocoa Action Plan.	One report evidencing the CLP contributions						1								1	
Result 5. General coordination of CLP in DR:	5.2. Compliance with social and environmental standards in the actions developed with the CLP	Monitoring report (quarterly by projects in DR)	2		2			2				2			2	8	
	5.3. Project management	Coordination with Mondelez CL Manager															
		Pop Meetings (Quarterly)- eight, 4 by project	2		2			2				2			2	8	
		Steering Committee Meetings (Monthly)	4		4			4				4			4	16	
		Technical Committee Meetings (Weekly)	12		12			12				12			12	48	

**BUDGET**

Item	Unity	Qty.	Cost (US\$)	Value (US\$)	Mondelez (US\$)	UNDP (US\$)
General Management (UNDP Staff-Part time)	days	20	500.00	10,000.00		10,000.00
Project Coordinator	Month	12	5,000.00	60,000.00	60,000.00	0
Administrative assistant (UNDP Staff-part time)	days	15	250.00	3,750.00	2,000.00	1,750.00
Technical support (GCP advisors)	days	10	550.00	5,500.00		5,500.00
<b>Communications &amp; visibility *</b>						
Communications support (UNDP Staff-part time)	days	6	350.00	2,100.00	1,050.00	1,050.00
Photos library	service			3,000.00	3,000.00	0
Video	Global	1	8,000.00	8,000.00	8,000.00	0
Printing & design of info-graphics	Lump sum	1	4,000.00	4,000.00	4,000.00	0
<b>Workshops and meetings</b>						
Partners (1 planning + 1 inception workshop with FUPAROCA + 1 Planning workshop with CONACADO/REDDOM + 4 KM workshop)	Workshop	7	2,000.00	14,000.00	14,000.00	0
Community Meetings (10)	meetings	10	500.00	5,000.00	5,000.00	0
<b>Travel</b>						
Local travel	Lump sum			20,000.00	20,000.00	0
Supplies/materials	Lump sum			3,000.00	3,000.00	0
Miscellaneous**	Lump sum			8,000.00	8,000.00	0
Sub total				160,250.00	112,000.00	18,300.00
GMS (8%)					8,960.00	
<b>Total</b>					<b>120,960.00</b>	<b>18,300.00</b>

\* Communications costs will be used with 2017 unspent funds, (they are just detailed, but not included in the budget sum).

\*\*Translation costs have been moved from Communications and included in this budget line.

**Exhibit II**  
**Corporate Social Responsibility (CSR) Policies**

a. **Forced Labor.** UNDP Focal Point will not use any forced labor, which means any work or service performed involuntarily under threat of physical or other penalty. UNDP Focal Point shall respect the freedom of movement of its workers and not restrict their movement by controlling identity papers, holding money deposits, or taking any other action to prevent workers from terminating their employment. If workers enter into employment agreements with UNDP Focal Point, workers should do so voluntarily.

b. **Child Labor.** UNDP Focal Point will not directly (or indirectly through the use of its subcontractors) employ any children under the age of 18 years of age unless legal, necessary, and the following are met: (a) UNDP Focal Point will comply with the minimum employment age limit defined by national law or by International Labor Organization ("ILO") Convention 138, whichever is higher. (b) The ILO Convention 138 minimum employment age is the local mandatory schooling age, but not less than 15 years of age (14 in certain developing countries), subject to exceptions allowed by the ILO and national law.

c. **Environment and Sustainability.** UNDP Focal Point will work to continuously improve its environmental performance by setting and then working toward quantifiable goals that reduce the environmental impact of his/her activities.

**d. Anti-Bribery**

d.a. UNDP Focal Point will not offer or pay, directly or indirectly, money or anything of value for or on behalf of Mondelēz Europe to a Government Official for the purpose of obtaining or retaining Mondelēz Europe business or obtaining a business advantage for Mondelēz Europe or to assist Mondelēz Europe in directing business to any person. "Government Official" includes officials or employees of government, state-owned businesses, international organizations, or political parties, political candidates, or any person otherwise acting in an official capacity for or on behalf of a government entity or international organization.

d.b. UNDP Focal Point will not offer or pay, directly or indirectly, money or anything of value for or on behalf of Mondelēz Europe to any other person or legal entity for any illegal purpose.

d.c. If Mondelēz Europe has reason to believe that a breach of any of the representations in this clause has occurred or may occur, Mondelēz Europe may withhold further payments under this agreement until such time as it has received confirmation to its satisfaction that no breach has occurred or will occur.

d.d. Mondelēz Europe may terminate this agreement immediately upon written notice to the UNDP Focal Point if Mondelēz Europe concludes, in its sole opinion, that UNDP Focal Point has breached any representation in this clause or that a breach is substantially likely to occur.